

无穷多海洋表面波相互作用的 能量守恒和共振条件*

黄 虎

(上海大学 上海市应用数学和力学研究所;
上海市力学在能源工程中的应用重点实验室,上海 200072)

摘要: 依照能量守恒定律和业已证明的海洋表面波之波-波共振条件,通过将 Hamilton 能量泛函展开至一个7阶对称的积分幂级数,给出了一个典型的“3-4-5-6-7波相互作用系统的共振条件组”,进而归纳、推论出一个一般的“无穷多波相互作用系统的共振条件组”,据此可显著地改观目前的基本海洋波湍流理论格局。

关键词: 共振条件; 无穷多海洋表面波; 能量守恒; 对称性; 波湍流

中图分类号: O353.2 **文献标志码:** A

doi: 10.3879/j.issn.1000-0887.2014.05.010

引 言

现代水波理论肇始于1960年提出的海洋表面重力波之4-波共振条件^[1],随后于1962年就触发了最为基本、普遍的海洋波湍流——以统计系综平均描述的 Hasselmann 方程^[2],再至1968年就产生了以普适的 Hamilton 结构刻画4-波共振之确定性的 Zakharov 方程^[3].可以证明,由 Zakharov 方程出发,即能推导出 Hasselmann 方程^[4].

显见,贯穿上述水波理论发展史的脉络为“共振条件”,并以“Hamilton 结构”和“对称性”^[5-10]为不可或缺。

如果从一部理论物理学的“基本相互作用”发展近现代史^[11-13]来看,将凸显出若干为数不多的“基本物理本性”,而这必将包含“能量守恒定律”和“对称性决定相互作用^[12]”.那么,试问:能否将这两大通用物理法则与普适的 Hamilton 结构相结合而应用于多个乃至“无穷多个海洋表面波共振条件”的求解之道?

果如是,那将是自然而然地,并将突破时至今日为获得海洋波动的经典“3-波^[14]、4-波^[1]、5-波^[15]”之“共振条件”而惯用的普遍旧有模式。

本文试为之。

* 收稿日期: 2013-10-16; 修订日期: 2014-03-01

基金项目: 全国优秀博士学位论文作者专项资金(200428);国家自然科学基金(11172157);上海市浦江人才计划(12PJJD001);上海高校创新团队建设资助项目

作者简介: 黄虎(1964—),男,新疆石河子人,教授,博士,博士生导师(Tel: +86-21-56332947; E-mail: hhuang@shu.edu.cn).

1 能量守恒

弱非线性海洋表面波的 Hamilton 复正则方程^[3]为

$$i \frac{\partial a(\mathbf{k}, t)}{\partial t} = \frac{\delta H(a(\mathbf{k}, t), a^*(\mathbf{k}, t))}{\delta a^*(\mathbf{k}, t)}, \quad (1)$$

其中, H 即为刻画表面波系统能量的 Hamilton 泛函, a^* 乃复正则函数 a 之共轭, \mathbf{k} 是波数矢量, δ 代表泛函导数. 为比较完整地保证能量守恒, 且遵循“对称性决定相互作用”^[12] 的根本原理, 可对 H 实施满足“自然对称性”的积分幂级数之超过目前最高 5 阶^[16-17] 的 7 阶展开:

$$\begin{aligned} H = & \int \omega_0 a_0 a_0^* d\mathbf{k}_0 + \\ & \int \left\{ \left[U_{0,1,2}^{(1)} a_0^* a_1 a_2 \delta_{0-1-2} + \frac{1}{3} U_{0,1,2}^{(3)} a_0^* a_1^* a_2^* \delta_{0+1+2} \right] + \text{c.c.} \right\} d\mathbf{k}_{012} + \\ & \int \left\{ \frac{1}{2} V_{0,1,2,3}^{(2)} a_0^* a_1^* a_2 a_3 \delta_{0+1-2-3} + \right. \\ & \left. \left[V_{0,1,2,3}^{(1)} a_0^* a_1 a_2 a_3 \delta_{0-1-2-3} + \frac{1}{4} V_{0,1,2,3}^{(4)} a_0^* a_1^* a_2^* a_3^* \delta_{0+1+2+3} \right] + \text{c.c.} \right\} d\mathbf{k}_{0123} + \\ & \int \left\{ \left[W_{0,1,2,3,4}^{(1)} a_0^* a_1 a_2 a_3 a_4 \delta_{0-1-2-3-4} + \frac{1}{2} W_{0,1,2,3,4}^{(2)} a_0^* a_1^* a_2 a_3 a_4 \delta_{0+1-2-3-4} + \right. \right. \\ & \left. \frac{1}{5} W_{0,1,2,3,4}^{(5)} a_0^* a_1^* a_2^* a_3^* a_4^* \delta_{0+1+2+3+4} \right] + \text{c.c.} \left. \right\} d\mathbf{k}_{01234} + \\ & \int \left\{ \frac{1}{3} X_{0,1,2,3,4,5}^{(3)} a_0^* a_1^* a_2^* a_3 a_4 a_5 \delta_{0+1+2-3-4-5} + \right. \\ & \left. \left[X_{0,1,2,3,4,5}^{(1)} a_0^* a_1 a_2 a_3 a_4 a_5 \delta_{0-1-2-3-4-5} + \frac{1}{2} X_{0,1,2,3,4,5}^{(2)} a_0^* a_1^* a_2 a_3 a_4 a_5 \delta_{0+1-2-3-4-5} + \right. \right. \\ & \left. \frac{1}{6} X_{0,1,2,3,4,5}^{(6)} a_0^* a_1^* a_2^* a_3^* a_4^* a_5^* \delta_{0+1+2+3+4+5} \right] + \text{c.c.} \left. \right\} d\mathbf{k}_{012345} + \\ & \int \left\{ \left[Y_{0,1,2,3,4,5,6}^{(1)} a_0^* a_1 a_2 a_3 a_4 a_5 a_6 \delta_{0-1-2-3-4-5-6} + \right. \right. \\ & \frac{1}{2} Y_{0,1,2,3,4,5,6}^{(2)} a_0^* a_1^* a_2 a_3 a_4 a_5 a_6 \delta_{0+1-2-3-4-5-6} + \\ & \frac{1}{3} Y_{0,1,2,3,4,5,6}^{(3)} a_0^* a_1^* a_2^* a_3 a_4 a_5 a_6 \delta_{0+1+2-3-4-5-6} + \\ & \left. \left. \frac{1}{7} Y_{0,1,2,3,4,5,6}^{(7)} a_0^* a_1^* a_2^* a_3^* a_4^* a_5^* a_6^* \delta_{0+1+2+3+4+5+6} \right] + \text{c.c.} \right\} d\mathbf{k}_{0123456}, \quad (2) \end{aligned}$$

其中, ω_0 为描述有限水深表面张力-重力波色散关系的角频率, c.c. 代表前项的复共轭, 而各个因变函数的下标 j 代指自变量 \mathbf{k}_j , 且下标 0 专指 \mathbf{k} , 例如, $a_j = a(\mathbf{k}_j, t)$, $U_{0,1,2}^{(n)} = U^{(n)}(\mathbf{k}, \mathbf{k}_1, \mathbf{k}_2)$, δ_j 表示 Dirac 函数 $\delta(\mathbf{k}_j)$. 另外, $d\mathbf{k}_{012} = d\mathbf{k}_0 d\mathbf{k}_1 d\mathbf{k}_2$, 各个积分号表示在整个 \mathbf{k} - 平面从“ $-\infty$ ”到“ $+\infty$ ”的相应多重积分.

依据式(1)和(2), 可得 a 的演化方程:

$$i \frac{\partial a_0}{\partial t} = \omega_0 a_0 + \int \left[U_{0,1,2}^{(1)} a_1 a_2 \delta_{0-1-2} + 2U_{2,0,1}^{(1)} a_1^* a_2 \delta_{0+1-2} + U_{0,1,2}^{(3)} a_1^* a_2^* \delta_{0+1+2} \right] d\mathbf{k}_{12} +$$

$$\begin{aligned}
 & \int [V_{0,1,2,3}^{(1)} a_1 a_2 a_3 \delta_{0-1-2-3} + V_{0,1,2,3}^{(2)} a_1^* a_2 a_3 \delta_{0+1-2-3} + 3V_{3,0,1,2}^{(1)} a_1^* a_2^* a_3 \delta_{0+1+2-3} + \\
 & V_{0,1,2,3}^{(4)} a_1^* a_2^* a_3^* \delta_{0+1+2+3}] dk_{123} + \\
 & \int [W_{0,1,2,3,4}^{(1)} a_1 a_2 a_3 a_4 \delta_{0-1-2-3-4} + W_{0,1,2,3,4}^{(2)} a_1^* a_2 a_3 a_4 \delta_{0+1-2-3-4} + \\
 & \frac{3}{2} W_{3,4,0,1,2}^{(2)} a_1^* a_2^* a_3 a_4 \delta_{0+1+2-3-4} + 4W_{4,0,1,2,3}^{(1)} a_1^* a_2^* a_3^* a_4 \delta_{0+1+2+3-4} + \\
 & W_{0,1,2,3,4}^{(5)} a_1^* a_2^* a_3^* a_4^* \delta_{0+1+2+3+4}] dk_{1234} + \\
 & \int [X_{0,1,2,3,4,5}^{(3)} a_1^* a_2^* a_3 a_4 a_5 \delta_{0+1+2-3-4-5} + X_{0,1,2,3,4,5}^{(1)} a_1 a_2 a_3 a_4 a_5 \delta_{0-1-2-3-4-5} + \\
 & 5X_{5,0,1,2,3,4}^{(1)} a_1^* a_2^* a_3^* a_4^* a_5 \delta_{0+1+2+3+4-5} + X_{0,1,2,3,4,5}^{(2)} a_1^* a_2 a_3 a_4 a_5 \delta_{0+1-2-3-4-5} + \\
 & 2X_{4,5,0,1,2,3}^{(2)} a_1^* a_2^* a_3^* a_4 a_5 \delta_{0+1+2+3-4-5} + \\
 & X_{0,1,2,3,4,5}^{(6)} a_1^* a_2^* a_3^* a_4^* a_5^* \delta_{0+1+2+3+4+5}] dk_{12345} + \\
 & \int [Y_{0,1,2,3,4,5,6}^{(1)} a_1 a_2 a_3 a_4 a_5 a_6 \delta_{0-1-2-3-4-5-6} + \\
 & 6Y_{6,0,1,2,3,4,5}^{(1)} a_1^* a_2^* a_3^* a_4^* a_5^* a_6 \delta_{0+1+2+3+4+5-6} + \\
 & Y_{0,1,2,3,4,5,6}^{(2)} a_1^* a_2 a_3 a_4 a_5 a_6 \delta_{0+1-2-3-4-5-6} + \\
 & \frac{5}{2} Y_{5,6,0,1,2,3,4}^{(2)} a_1^* a_2^* a_3^* a_4^* a_5 a_6 \delta_{0+1+2+3+4-5-6} + \\
 & Y_{0,1,2,3,4,5,6}^{(3)} a_1^* a_2^* a_3 a_4 a_5 a_6 \delta_{0+1+2-3-4-5-6} + \\
 & \frac{4}{3} Y_{4,5,6,0,1,2,3}^{(3)} a_1^* a_2^* a_3^* a_4 a_5 a_6 \delta_{0+1+2+3-4-5-6} + \\
 & Y_{0,1,2,3,4,5,6}^{(7)} a_1^* a_2^* a_3^* a_4^* a_5^* a_6^* \delta_{0+1+2+3+4+5+6}] dk_{123456} . \tag{3}
 \end{aligned}$$

由式(2)和(3),可以证明能量守恒:

$$\frac{\partial H}{\partial t} = 0. \tag{4}$$

2 共振条件

相对于多种物理守恒定律(例如,电荷、重子守恒等),能量守恒定律显得更为超拔、抽象,实则就是一种数学原理^[11].能量可有多种物理表达形式(例如声、光、电、热能等),那么,其守恒定律是否也存在多种数学形式呢?并且,由于“能量守恒是一种局域过程”^[11],那么,在数学的整体表达式上是否也能显现出某种“局域过程”?这需要观察、验证、推断.现在,可将式(4)局域化:分解、展开而另表述为

$$\frac{\partial H}{\partial t} = \left[i \int \omega_0^2 a_0 a_0^* dk_0 + A + B \right] - \left[i \int \omega_0^2 a_0 a_0^* dk_0 + A + B \right] = A - A = 0, \tag{5}$$

其中, B 包含的项数远大于 A , 每一项又含有两个相异的 δ 之积(须做平均而消去一个 δ), 而 A 中的各项仅含有一个 δ , 且较之 B 还含有若干个角频率的组合, 即为

$$\begin{aligned}
 iA = & \int \left[U_{0,1,2}^{(1)} (a_0^* a_1 a_2 - \text{c.c.}) (\omega_0 - \omega_1 - \omega_2) \delta_{0-1-2} + \right. \\
 & \left. \frac{1}{3} U_{0,1,2}^{(3)} (a_0^* a_1^* a_2^* - \text{c.c.}) (\omega_0 + \omega_1 + \omega_2) \delta_{0+1+2} \right] dk_{012} +
 \end{aligned}$$

$$\begin{aligned}
& \int \left[\frac{1}{2} V_{0,1,2,3}^{(2)} (a_0^* a_1^* a_2 a_3 (\omega_0 + \omega_1 - \omega_2 - \omega_3) \delta_{0+1-2-3} + \right. \\
& V_{0,1,2,3}^{(1)} (a_0^* a_1 a_2 a_3 - \text{c.c.}) (\omega_0 - \omega_1 - \omega_2 - \omega_3) \delta_{0-1-2-3} + \\
& \left. \frac{1}{4} V_{0,1,2,3}^{(4)} (a_0^* a_1^* a_2^* a_3^* - \text{c.c.}) (\omega_0 + \omega_1 + \omega_2 + \omega_3) \delta_{0+1+2+3} \right] dk_{0123} + \\
& \int \left[\frac{1}{2} W_{0,1,2,3,4}^{(2)} (a_0^* a_1^* a_2 a_3 a_4 - \text{c.c.}) (\omega_0 + \omega_1 - \omega_2 - \omega_3 - \omega_4) \delta_{0+1-2-3-4} + \right. \\
& W_{0,1,2,3,4}^{(1)} (a_0^* a_1 a_2 a_3 a_4 - \text{c.c.}) (\omega_0 - \omega_1 - \omega_2 - \omega_3 - \omega_4) \delta_{0-1-2-3-4} + \\
& \left. \frac{1}{5} W_{0,1,2,3,4}^{(5)} (a_0^* a_1^* a_2^* a_3^* a_4^* - \text{c.c.}) (\omega_0 + \omega_1 + \omega_2 + \omega_3 + \omega_4) \delta_{0+1+2+3+4} \right] dk_{01234} + \\
& \int \left[\frac{1}{3} X_{0,1,2,3,4,5}^{(3)} (a_0^* a_1^* a_2^* a_3 a_4 a_5 (\omega_0 + \omega_1 + \omega_2 - \omega_3 - \omega_4 - \omega_5) \delta_{0+1+2-3-4-5} + \right. \\
& X_{0,1,2,3,4,5}^{(1)} (a_0^* a_1 a_2 a_3 a_4 a_5 - \text{c.c.}) (\omega_0 - \omega_1 - \omega_2 - \omega_3 - \omega_4 - \omega_5) \delta_{0-1-2-3-4-5} + \\
& \frac{1}{2} X_{0,1,2,3,4,5}^{(2)} (a_0^* a_1^* a_2 a_3 a_4 a_5 - \text{c.c.}) (\omega_0 + \omega_1 - \omega_2 - \omega_3 - \omega_4 - \omega_5) \delta_{0+1-2-3-4-5} + \\
& \left. \frac{1}{6} X_{0,1,2,3,4,5}^{(6)} (a_0^* a_1^* a_2^* a_3^* a_4^* a_5^* - \text{c.c.}) (\omega_0 + \omega_1 + \right. \\
& \left. \omega_2 + \omega_3 + \omega_4 + \omega_5) \delta_{0+1+2+3+4+5} \right] dk_{012345} + \\
& \int \left[\frac{1}{3} Y_{0,1,2,3,4,5,6}^{(3)} (a_0^* a_1^* a_2^* a_3 a_4 a_5 a_6 - \text{c.c.}) (\omega_0 + \omega_1 + \right. \\
& \left. \omega_2 - \omega_3 - \omega_4 - \omega_5 - \omega_6) \delta_{0+1+2-3-4-5-6} + \right. \\
& Y_{0,1,2,3,4,5,6}^{(1)} (a_0^* a_1 a_2 a_3 a_4 a_5 a_6 - \text{c.c.}) (\omega_0 - \omega_1 - \\
& \left. \omega_2 - \omega_3 - \omega_4 - \omega_5 - \omega_6) \delta_{0-1-2-3-4-5-6} + \right. \\
& \left. \frac{1}{2} Y_{0,1,2,3,4,5,6}^{(2)} (a_0^* a_1^* a_2 a_3 a_4 a_5 a_6 - \text{c.c.}) (\omega_0 + \omega_1 - \right. \\
& \left. \omega_2 - \omega_3 - \omega_4 - \omega_5 - \omega_6) \delta_{0+1-2-3-4-5-6} + \right. \\
& \left. \frac{1}{7} Y_{0,1,2,3,4,5,6}^{(7)} (a_0^* a_1^* a_2^* a_3^* a_4^* a_5^* a_6^* - \text{c.c.}) (\omega_0 + \omega_1 + \right. \\
& \left. \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6) \delta_{0+1+2+3+4+5+6} \right] dk_{0123456} . \tag{6}
\end{aligned}$$

一般而言,数学共振条件仅包含角频率条件,而物理共振条件则是“角频率和波数矢量共存于一体”。因此,这里的物理共振条件可由 A 担当,并且在“波数矢量的组合”上,显然 B 的种类多于且包含 A 。如果令 $A=0$,则 A 之式(6)右端的16项各自均为0。据此,可得出一个完整的“3-4-5-6-7波相互作用系统的共振条件组”:

$$\omega_0 = \omega_1 + \omega_2, \mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2, \tag{7a}$$

$$\omega_0 + \omega_1 + \omega_2 = 0, \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 = \mathbf{0}; \tag{7b}$$

$$\omega_0 + \omega_1 = \omega_2 + \omega_3, \mathbf{k}_0 + \mathbf{k}_1 = \mathbf{k}_2 + \mathbf{k}_3, \tag{8a}$$

$$\omega_0 = \omega_1 + \omega_2 + \omega_3, \mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3, \tag{8b}$$

$$\omega_0 + \omega_1 + \omega_2 + \omega_3 = 0, \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 = \mathbf{0}; \tag{8c}$$

$$\omega_0 + \omega_1 = \omega_2 + \omega_3 + \omega_4, \mathbf{k}_0 + \mathbf{k}_1 = \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4, \tag{9a}$$

$$\omega_0 = \omega_1 + \omega_2 + \omega_3 + \omega_4, \quad \mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4, \quad (9b)$$

$$\omega_0 + \omega_1 + \omega_2 + \omega_3 + \omega_4 = 0, \quad \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 = \mathbf{0}; \quad (9c)$$

$$\omega_0 + \omega_1 + \omega_2 = \omega_3 + \omega_4 + \omega_5, \quad \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5, \quad (10a)$$

$$\omega_0 = \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5, \quad \mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5, \quad (10b)$$

$$\omega_0 + \omega_1 = \omega_2 + \omega_3 + \omega_4 + \omega_5, \quad \mathbf{k}_0 + \mathbf{k}_1 = \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5, \quad (10c)$$

$$\omega_0 + \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 = 0, \quad \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5 = \mathbf{0}; \quad (10d)$$

$$\omega_0 + \omega_1 + \omega_2 = \omega_3 + \omega_4 + \omega_5 + \omega_6, \quad \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5 + \mathbf{k}_6, \quad (11a)$$

$$\omega_0 = \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6, \quad \mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5 + \mathbf{k}_6, \quad (11b)$$

$$\omega_0 + \omega_1 = \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6, \quad \mathbf{k}_0 + \mathbf{k}_1 = \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5 + \mathbf{k}_6, \quad (11c)$$

$$\begin{cases} \omega_0 + \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6 = 0, \\ \mathbf{k}_0 + \mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 + \mathbf{k}_5 + \mathbf{k}_6 = \mathbf{0}. \end{cases} \quad (11d)$$

在上述共振条件中,式(7b),(8c),(9c),(10d),(11d)为5个“平凡共振条件”,意味着负能量波的传播介质^[6],可给予排除;式(7a),(8a),(9a)为目前业已发现的共振条件,依次代表“表面张力-重力波的3-波共振^[14]”、“表面重力波的4-波^[1]、5-波^[15]共振”.由此,可以推测出上述“非凡共振条件组”的“归属类型”:

(I) 表面张力-重力波

式(7a),(8b),(9b),(10b),(11b);

(II) 表面重力波

式(8a),(9a),(10a),(10c),(11a),(11c).

如果欲将上述“非凡共振条件组”扩展至 n -波状态,则可归纳推断出一般的“无穷多波相互作用系统的非凡共振条件组”:

(I) 表面张力-重力波

$$\omega_1 = \omega_2 + \omega_3 + \cdots + \omega_n, \quad \mathbf{k}_1 = \mathbf{k}_2 + \mathbf{k}_3 + \cdots + \mathbf{k}_n, \quad n \geq 3; \quad (12)$$

(II) 表面重力波

$$\begin{cases} \omega_1 + \omega_2 + \cdots + \omega_m = \omega_{m+1} + \omega_{m+2} + \cdots + \omega_n, \\ \mathbf{k}_1 + \mathbf{k}_2 + \cdots + \mathbf{k}_m = \mathbf{k}_{m+1} + \mathbf{k}_{m+2} + \cdots + \mathbf{k}_n, \end{cases} \quad 2 \leq m \leq n - 2. \quad (13)$$

可以推断,如果将上述“波能量守恒定律”代之以相应的“波动量守恒定律”或“波作用量守恒定律”^[6],势必亦可推演出“非凡共振条件组”(12)和(13).

3 结 论

本文着眼并联合如下基本、普适的物理“概念、定律、方法和法则”：“共振、能量守恒定律、Hamilton 描述、对称性决定相互作用”，一举、完整、有效获得了“无穷多波相互作用系统的共振条件组”.这不但为将经典4-波共振的 Zakharov 方程^[3]和 Hasselmann 方程^[2]推广至无穷多波共振而提供了一个先决理论基础,进而将显著地促进广泛的海洋波湍流^[2,16-19]乃至普适波湍流^[6,8,20-21]的研究和发展,而且或许提供了一个“求证多种多样波动(例如光波、声波和交通波等)的多波共振条件”的新方法论——这又将触及到丰富多彩的物理守恒量:动量、角动量和绝热不变量(adiabatic invariants)^[22]等.

如果欲将上述理论远景拉回到近处,便会发现眼下这样一个迫切的实际要求:“将目前海洋波湍流之最多的5-波共振^[2,16-19]推广至6-波,即要得到海洋表面波之6-波共振的 Zakharov 方程及其 Hasselmann 方程,以及该 Hasselmann 方程的某一能量谱特解”.该特解类同于与湍流

中著名的“Kolmogorov 谱”或“Kolmogorov-Obukhov 谱”^[6,23-25]相似的 Kolmogorov-Zakharov 谱^[26]。正是后者,一举将“波湍流”严格置身于“湍流”的大框架体系中去了^[6,20-21]。

显然,这将是极其艰难却又十分难得的海洋波湍流一大进展,甚或波湍流。本作者试为之。

参考文献 (References):

- [1] Phillips O M. On the dynamics of unsteady gravity waves of finite amplitude—part 1: the elementary interactions [J]. *Journal of Fluid Mechanics*, 1960, **9**(2): 193-217.
- [2] Hasselmann K. On the non-linear energy transfer in a gravity-wave spectrum—part 1: general theory [J]. *Journal of Fluid Mechanics*, 1962, **12**(4): 481-500.
- [3] Zakharov V E. Stability of periodic waves of finite amplitude on the surface of a deep fluid [J]. *Journal of Applied Mechanics and Technical Physics*, 1968, **9**(2): 190-194.
- [4] Dyachenko A I, Lvov Y V. On the Hasselmann and Zakharov approaches to the kinetic equations for gravity waves [J]. *Journal of Physical Oceanography*, 1995, **25**(12): 3237-3238.
- [5] Arnold V I. *Mathematical Methods of Classical Mechanics* [M]. Berlin: Springer, 1978.
- [6] Zakharov V E, L'Vov V S, Falkovich G. *Kolmogorov Spectra of Turbulence I: Wave Turbulence* [M]. Berlin: Springer, 1992.
- [7] HUANG Hu. *Dynamics of Surface Waves in Coastal Waters: Wave-Current-Bottom Interactions* [M]. Beijing-Berlin: Higher Education Press-Springer, 2009.
- [8] Kartashova E. *Nonlinear Resonance Analysis: Theory, Computation, Applications* [M]. Cambridge: Cambridge University, 2011.
- [9] 邓子辰, 钟万勰. 等式约束非线性控制系统的时程精细计算 [J]. 应用数学和力学, 2002, **23**(1): 16-22. (DENG Zi-chen, ZHONG Wan-xie. Time precise integration method for constrained nonlinear control system [J]. *Applied Mathematics and Mechanics*, 2002, **23**(1): 16-22. (in Chinese))
- [10] 黄虎, 丁平兴, 吕秀红. 广义缓坡方程 [J]. 应用数学和力学, 2001, **22**(6): 645-650. (HUANG Hu, DING Ping-xing, LÜ Xiu-hong. Extended mild-slope equation [J]. *Applied Mathematics and Mechanics*, 2001, **22**(6): 645-650. (in Chinese))
- [11] Feynman R P, Leighton R B, Sands M. *The Feynman Lectures on Physics* [M]. Beijing: Beijing World Publishing Corporation, 2004.
- [12] 杨振宁. 杨振宁文集 [M]. 上海: 华东师范大学出版社, 1998. (Yang C N. *Chen Ning Yang's Collection* [M]. Shanghai: The East China Normal University Publishing Press, 1998. (in Chinese))
- [13] 郭奕玲, 沈慧君. 诺贝尔物理学奖 1901-2010 [M]. 北京: 清华大学出版社, 2012. (GUO Yi-ling, SHEN Hui-jun. *The Nobel Prize in Physics 1901-2010* [M]. Beijing: Tsinghua University Press, 2012. (in Chinese))
- [14] Mcgoldrick L F. Resonant interactions among capillary-gravity waves [J]. *Journal of Fluid Mechanics*, 1965, **21**(2): 305-331.
- [15] McLean J W. Instabilities of finite-amplitude gravity waves on water of finite depth [J]. *Journal of Fluid Mechanics*, 1982, **114**: 331-341.
- [16] Krasitskii V P. On reduced equations in the Hamiltonian theory of weakly nonlinear surface waves [J]. *Journal of Fluid Mechanics*, 1994, **272**: 1-20.
- [17] 黄虎. 海洋表面波的 3-4-5 波共振守恒理论 [J]. 物理学报, 2013, **62**(13): 139201. (HUANG Hu.

- A theory of 3-4-5-wave resonance and conservation for ocean surface waves[J]. *Acta Physica Sinica*, 2013, **62**(13): 139201.(in Chinese)
- [18] Komen G J, Cavaleri L, Donelan M, Hasselmann K, Hasselmann S, Janssen P A E M. *Dynamics and Modeling of Ocean Waves*[M]. Cambridge: Cambridge University Press, 1994.
- [19] Janssen P A E M. *The Interaction of Ocean Waves and Wind*[M]. Cambridge: Cambridge University Press, 2004.
- [20] Newell A C, Rumpf B. Wave turbulence[J]. *Annu Rev Fluid Mech*, 2011, **43**: 59-78.
- [21] Nazarenko S. *Wave Turbulence*[M]. Berlin: Springer, 2011.
- [22] Goldstein H. *Classical Mechanics*[M]. Massachusetts: Addison-Wesley Publishing Company, 1980.
- [23] Kolmogorov A N. The local structure of turbulence in incompressible viscous fluid for very large Reynolds numbers[J]. *Proceedings of the Royal Society of London, Series A, Mathematical and Physical Sciences*, 1991, **434**(1890): 9-13.
- [24] Kolmogorov A N. Dissipation of energy in the locally isotropic turbulence[J]. *Proceedings of the Royal Society of London, Series A, Mathematical and Physical Sciences*, 1991, **434**(1890): 15-17.
- [25] Obukhov A M. On the distribution of energy in the spectrum of turbulent flow[J]. *Dokl Akad Nauk SSSR*, 1941, **32**(1): 22-24.
- [26] Zakharov V E. Weak turbulence in media with a decay spectrum[J]. *Journal of Applied Mechanics and Technical Physics*, 1965, **6**(4): 22-24.

Energy Conservation and Resonance Conditions for Interactions of an Infinite Number of Ocean Surface Waves

HUANG Hu

(*Shanghai Key Laboratory of Mechanics in Energy Engineering;
Shanghai Institute of Applied Mathematics and Mechanics,
Shanghai University, Shanghai 200072, P.R.China*)

Abstract: Based on the energy conservation law and the existing wave-wave resonance conditions for ocean surface waves, a typical group of resonance conditions for the 3-4-5-6-7 wave interactions was put forward through expansion of the Hamiltonian energy functional into a 7-order symmetrical integro-power series, therefore a general group of resonance conditions for an infinite number of wave interactions was induced and deduced. The work may make a great improvement in the present structure of the fundamental wave turbulence theory.

Key words: resonance condition; an infinite number of ocean surface waves; energy conservation; symmetry; wave turbulence

Foundation item: The National Natural Science Foundation of China (11172157)